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## **Research Article**

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# **Performance of a hybrid system with a semi-submersible wind platform and annular wave-energy converters**

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**Abstract:** Installing annular wave-energy converters (WECs) on the columns of floating wind platforms in the form of a coaxial-cylinder provides a convenient means of integration. Extant coaxial-cylinder-type wind-wave hybrid systems are mostly based on single-column platforms such as spars ('single coaxial-cylinder hybrid system' hereafter). Systems based on multiplecolumn platforms such as semi-submersible platforms ('multiple coaxial-cylinder hybrid systems' hereafter) are rarely seen or studied, despite their superiority in wave-power absorption due to the use of multiple WECs as well as in dynamic stability. This paper proposes a novel WindFloat platform-annular WECs hybrid system, based on our study investigating its dynamic and power features, and optimizing the geometry and power take-off of the WECs. Our results show that the dynamic and power features of a multiple coaxial-cylinder hybrid system are different from those of a single coaxial-cylinder hybrid system; thus the same optimization parameters cannot be directly applied. Flatter annular WECs absorb slightly more power in a wider waveperiod range, but their geometry is confined by limitations in installation and structural strength. The overall effect of an oblique incident wave is greater intensity in the motions of the hybrid system in yaw and the direction perpendicular to propagation, although the difference is small and may be negligible. **FORTH AND CONTROL AT WAVE-ENETY CONVETTERS**<br>
In 71101U<sup>1</sup>, Yu WANC<sup>1</sup>, Zhi ZIIENC<sup>1</sup>, Peng JIN<sup>12</sup><sup>25</sup>, Lei WANC<sup>1</sup>, Yujia WH<sup>21</sup><sup>4</sup><br>
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**Key words:** Semi-submersible wind platform; Wave energy; Annular wave-energy converter; Power performance; Motion

## **1 Introduction**

Ocean-wave energy is regarded as a promising renewable energy resource and a possible substitute for traditional fuels (Said and Ringwood, 2021). It has been widely explored in recent years, as reflected by numerous new wave-energy conversion technologies (Zhang et al., 2021c; He et al., 2023). Despite the abundance of wave-energy converters (WECs) in theoretical studies, model tests, and sea trails, their practical application is still hindered by their low Technology Readiness Level (TRL), which leads to high construction and maintenance costs (Penalba et al., 2019) for multivarious waves in realistic ocean

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(Zhou et al., 2023a; Wang et al., 2023). To reduce the cost, the prevailing approach is to install WECs on existing coastal and offshore infrastructures to utilize their foundations, moorings, maintenance, and power grids (Zhou et al., 2022b; Zhang et al., 2021a, 2021b; He et al., 2013, 2019). Among such hybrid systems, those consisting of floating offshore wind turbines and WECs provide the most effective scenario for co-located extraction of multiple sources of ocean renewable energy, exploiting the coherent strong winds and high waves in deep seas (Chen et al., 2017; Clemente et al., 2021). Innovative projects have been supported by the government and industrial community to prompt the development of co-located offshore renewable energy extraction (Lu et al., 2014; Jeffrey and Sedwick, 2011), and many wind-wave hybrid systems have been proposed (Kamarlouei et al., 2020; Gaspar et al., 2021; Si et al., 2021; Ghafari et al., 2021).

Of the various hybrid systems, the coaxialcylinder type is the most widely accepted. In most of

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these systems, annular WECs are installed on the leg columns of the foundation of offshore wind platforms through, for example, a clamp mechanism (Muliawan et al., 2012). The annular WEC can then slide along the column and generate wave power through a linear permanent magnet generator (Faiz and Nematsaberi, 2017) power take-off (PTO) driven by the relative heave motion between the WEC and the column. Such hybrid systems use existing columns as an installation foundation, which is convenient since no additional modification of the structure is required. Representative designs are the Spar-Torus Combination (STC) (Wan et al., 2016, 2020; Cheng et al., 2019; Lerch et al., 2019), TLP-WT-WEC-Combination (TWWC) (Ren et al., 2020), and Monopile-WT-WEC-Combination (MWWC) (Ren et al., 2019). In these three systems, the floating or fixed foundation of the platform has a single cylindrical column, which means they can be appropriately called single coaxial-cylinder hybrid systems. The design was originally inspired by a coaxial-cylinder WEC Wavebob (Jaya Muliawan et al., 2013). Therefore, the annular WECs used in these hybrid systems were directly borrowed from the configuration of the outer cylinder of the Wavebob. The dynamic and power features of the single coaxial-cylinder windwave hybrid systems have been extensively investigated in previous studies through numerical simulations and model tests, providing quite a bit of useful guidance for design and operation in both mild and extreme sea states.

Annular WECs are not only suitable for integration into single-column offshore wind platforms such as spars. In fact, among floating offshore wind platforms employing three prevalent types of floating foundation (spar, tension-leg platform, and semisubmersible platform), semi-submersible foundations that contain multiple columns account for over 90% of the platforms in service (Wu et al., 2019), providing a much wider basis of choice for integration of annular WECs. WindFloat (Roddier et al., 2010) is a representative platform. It was developed in 2003 by the offshore engineering consulting company Marine Innovation & Technology (MI&T) as a foundation for multimegawatt offshore wind turbines from different manufacturers. It aims to provide acceptable static and dynamic motion for the operation of large wind turbines, while limiting expensive offshore

installation and maintenance procedures in deep-sea areas (Jensen and Mansour, 2006; Joensen et al., 2007; Jonkman and Sclavounos, 2006). Three annular WECs can be installed on the three columns of WindFloat, one on each. Such a configuration is referred to as a multiple coaxial-cylinder wind-wave hybrid system hereafter, to distinguish it from single coaxial-cylinder wind-wave hybrid systems.

Compared with single coaxial-cylinder hybrid systems, multiple coaxial-cylinder hybrid systems may offer higher wave-power generation through the use of multiple annular WECs, and the motion can be more stable because of the use of a semisubmersible foundation instead of a spar. Despite these merits, multiple coaxial-cylinder hybrid systems are rarely seen and their dynamic features and power performance are poorly understood, hindering analysis and optimization. The reasons and specific gaps are as follows. The more complex configuration of the multiple coaxial-cylinder hybrid systems causes difficulties in the analysis of their dynamic and power features. Data from single coaxial-cylinder hybrid systems may be referred to, but the applicability is uncertain because the dynamic and power features of the two types of hybrid systems can be quite different. Turning to the optimization of annular WECs, in previous studies of single coaxialcylinder hybrid systems, the geometry of the WEC was primarily based on the Wavebob device, and the power take-off (PTO) parameters were assigned randomly chosen values. The optimization method proposed by Jin *et al*. (2019) for a coaxial-cylinder WEC could be useful, but it may or may not be suitable for a configuration with multiple annular WECs. These gaps are filled in this study. We propose a novel WindFloat-annular-WEC hybrid system. The dynamic and power features of the multiple coaxialcylinder hybrid system not covered in previous studies are unfolded here, showing that they are indeed quite different from those in a single coaxial-cylinder hybrid system. The dimensions and PTO damping of the annular WECs are also optimized based on our findings. The influence of incident-wave direction was ignored in previous studies as a single coaxialcylinder hybrid system is insensitive to this factor. However, it is emphasized in this paper as we found that the stability of a multiple coaxial-cylinder hybrid system can be affected by its centrosymmetric mutsaberi, 2017) power take-off (PTO) driven hybrid system hereafter, to distinguish it from single<br>heat relative heave motion between the WEC and conside-ylinder wind several phone and the main install explicit and the s

configuration.

The rest of the paper is structured as follows. In Section 2, the configuration of the WindFloatannular-WEC hybrid system is described and the key parameters of the floating foundation of the platform are provided. In Section 3, the constrained dynamics and power absorption that govern the hybrid system are mathematically modelled and validated against published results. In Section 4, the dynamic and power absorption features of the annular WECs are analyzed and the dimensions of the annular WECs optimized. The influence of incident-wave direction on the power performance and motion of the optimized hybrid system is also investigated.

## **2 Configuration of the WindFloat-annular-WEC hybrid system**

### **2.1 Hybrid system**

The proposed wind-wave hybrid system consists of a WindFloat offshore wind platform and three identical annular WECs (Figure 1). WindFloat consists of an equilateral triangular semi-submersible floating foundation and a mooring system. The floating foundation has three cylindrical columns, each equipped with a heave plate to dampen the motion of the platform. The annular WEC, whose geometry is characterized by its inner radius *r*, outer radius *R*, and draft *d*, is installed on the column like a sleeve on a shaft. As the radius of the column is 5.35 m, the inner radius of the annular WEC is preset to 5.5 m to leave a narrow gap between the two. A linear permanent magnet generator is embedded in the gap between the annular WEC and the column as a directdrive power take-off (PTO). The motions of the annular WEC in the other five degrees of freedom (DoFs) are restricted by some mechanics, and only relative heave motion is allowed. Wave power is absorbed through the relative heave motion between the platform and the annular WEC. wer absorption that govern the hybri[d](#page-2-0) system calculated by the open-source tool Mooring Analysis<br>
identicating modeled and validated against Program (MAP) (Maxicola et al., 2014).<br>
Independent case of the annular WFCs are

### **2.2 Platform and mooring system**

The key parameters of the WindFloat offshore wind platform are given in

<span id="page-2-1"></span>[Table](#page-2-1) 1. The layout of the four catenary moor-

ing cables is illustrated in [Figure 1](#page-2-0) and the key parameters can be found in reference (Zhou et al., 2023b). To adopt the frequency-domain wavestructure interaction method in the hybrid system simulation, we made the the mooring system equivalent to a matrix-mooring stiffness (Zhou et al., 2023b) calculated by the open-source tool Mooring Analysis Program (MAP) (Masciola et al., 2014).



(a) 3D rendered sketch



(b) Side view



<span id="page-2-0"></span>(c) Top view **Figure 1 WindFloat-annular-WEC hybrid system** 

**Table 1 Detailed dimensions of WindFloat (Roddier et al., 2010)** 



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## **3 Mathematical Model**

## **3.1 Constrained motion equation**

The WAFDUT numerical package used in this analysis, developed by the Dalian University of Technology, is based on the potential flow theory of linear waves and the higher-order boundary element method (HOBEM). Its wave-structure interaction fundamentals can be readily referred to in reference (Teng and Taylor, 1995). The WAFDUT numerical package can simulate the hydrodynamics of thin heave plates such as those in the WindFloat. Here, we highlight the constrained motion equation of the hybrid system and the wave-power absorption equation.

Identical PTO is applied to all three annular WECs and is simplified as linear damping, as in many previous studies such as references (Zhou et al., 2022a; Zhang et al., 2020a, 2020b;). The matrix form of the constrained motion equation of the hybrid system is

$$
\begin{aligned}\n&\left[-\omega^2 \left(\boldsymbol{M}+\boldsymbol{a}\right)-\mathrm{i}\,\omega\left(\boldsymbol{b}+\boldsymbol{b}_{\text{PTO}}+\boldsymbol{b}_{\text{vis}}\right)+\boldsymbol{k}_{\text{r}}+\boldsymbol{k}_{\text{m}}\right]\boldsymbol{\xi} \\
&=\boldsymbol{F}_{\text{wave}}+\boldsymbol{F}_{\text{c}}\n\end{aligned} \tag{1}
$$

where i is the imaginary unit.  $\omega$  is the angular frequency of the incident wave. *M*, *a*, *b*, *b*<sub>PTO</sub>, *b*<sub>vis</sub>, *k*<sub>r</sub>, and  $k<sub>m</sub>$  are all 24 $\times$ 24 matrices of mass, added mass, radiation damping, PTO damping, fluid viscous damping correction of the platform, hydrostatic restoration coefficient, and mooring stiffness of the system, respectively.  $\xi$ ,  $F_{\text{wave}}$ , and  $F_c$  are the vectors of displacement, wave excitation force, and constraint force, respectively. *M*, *a*, *b*,  $k_r$ , and  $F_{wave}$  are all calculated in the WAFDUT numerical package. In the matrix of PTO damping  $b_{\text{PTO}}$ , the values of part of its elements are  $b_{\text{PTO,3,3}}=b_{\text{PTO,9,9}}=b_{\text{PTO,15,15}}=b_{\text{PTO}}$  and *b*PTO,3,21=*b*PTO,9,21=*b*PTO,15,21=*b*PTO,21,3=*b*PTO,21,9=*b*PTO,21,  $15=-b_{\text{PTO}}$ , with  $b_{\text{PTO}}$  representing the value of the PTO damping. The values of the other elements in **<sub>PTO</sub> are all 0.**  $**b**$ **<sub>vis</sub> is obtained without considering the** couplings between motions in different DoFs or be-

tween different floating bodies through the method proposed by Zhou *et al*. (2023b). As demonstrated by Zhou *et al*. (2020a), the viscous correction of a WEC buoy can be almost negligible when its radiusto-draft ratio is large; the viscous effect on the annular WECs is therefore not considered since all of the WECs used in this study had a large radius-to-draft ratio. The vector of the system displacement *ξ* is unknown in the constraint motion equation. By applying the augmentation formulation associated with an unknown Lagrangian multiplier *λ* based on multibody dynamics (Shabana, 2020), the constrained **DERIFFIRE THE INTERFALL CONSULTS (SOMEROVER)** (Soluto real, 2023b), As demonstrated<br>
the WAFDUT numerical package used in this WEC boxy can be almost are<br>jugite when its radius of the Dair University of urbifirm introduc

motion equation can be reformed as  
\n
$$
\begin{bmatrix}\n-\omega^2 (M+a) - i\omega (b+b_{\text{PTO}}+b_{\text{vis}})+k_r+k_m & C_{\xi}^T \ \omega^2 C_{\xi} & 0\n\end{bmatrix} \begin{bmatrix} \xi \\ \lambda \end{bmatrix}
$$
\n
$$
= \begin{bmatrix} F_{\text{wave}} \\ F_{\text{d}} \end{bmatrix}
$$
\n(2)

The constraint relations of the three annular WECs are identical, denoted as *C*(**ξ**), and can be expressed as

pressed as

\n
$$
C(\xi) = \begin{bmatrix} C_1(\xi) & C_2(\xi) & C_3(\xi) & C_4(\xi) & C_5(\xi) \end{bmatrix}^T = 0
$$
\n(3)

corresponding to the constraints in the five DoFs except for heave; the superscript T represents the transformation of the matrix. **C**(**ξ**) can be deduced based on the theory of multi-body dynamics.  $C_{\xi}$  is the 15×24 linear-constraint Jacobian matrix of **C**(**ξ**). Letting the first three bodies be the annular WECs and the fourth body be the platform, by assuming small rotational motions of the system and zero Euler angles in the equilibrium position,  $C_{\xi}$  can be written in the form of

$$
C_{\zeta} = \begin{bmatrix} C_{\text{wEC1}} & 0 & 0 & C_{\text{platform}} \\ 0 & C_{\text{wEC2}} & 0 & C_{\text{platform}} \\ 0 & 0 & C_{\text{wEC3}} & C_{\text{pattern}} \end{bmatrix} \tag{4}
$$

with

$$
\boldsymbol{C}_{\text{WEC}i} = \begin{bmatrix} 1 & 0 & 0 & 0 & z_{i0} - z_0 & y_0 - y_{i0} \\ 0 & 1 & 0 & z_0 - z_{i0} & 0 & x_{i0} - x_0 \\ 0 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix} \tag{5}
$$
\n
$$
\boldsymbol{C}_{\text{platform}} = \begin{bmatrix} -1 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1 \end{bmatrix} \tag{6}
$$

where  $(x_0, y_0, z_0)$  is the rotation center of the platform and  $(x_{i0}, y_{i0}, z_{i0})$  is the rotation center of the *i*th annular WEC (*i*=1, 2, 3).  $\mathbf{F}_d$  is the generalized force vector associated with the independent coordinates, and has the form:

$$
\boldsymbol{F}_{\mathrm{d}} = \begin{bmatrix} \boldsymbol{F}_{\mathrm{d1}}^{\mathrm{T}} & \boldsymbol{F}_{\mathrm{d2}}^{\mathrm{T}} & \boldsymbol{F}_{\mathrm{d3}}^{\mathrm{T}} \end{bmatrix}^{\mathrm{T}} \tag{7}
$$

with

$$
F_{di} = -\omega^2 C_{\xi} \xi = \omega^2 \left[ x_{i0} - x_0 \quad y_{i0} - y_0 \quad 0 \quad 0 \quad 0 \right]^{\mathrm{T}},
$$
  
\n $i = 1, 2, 3$  (8)

#### **3.2 Wave-power absorption**

The power  $P_i(\omega)$  absorbed by the *i*th annular WEC is

$$
P_i(\omega) = \frac{1}{2} \omega^2 b_{\text{PTO}} |z_i - z_4|^2
$$
 (9)

where  $z_i$  is the heave motion of the *i*th annular WEC and  $z_4$  is the heave motion of the WindFloat platform. The total absorbed wave power  $P_{total}(\omega)$  is

$$
P_{\text{total}}(\omega) = \sum_{i=1}^{3} P_i(\omega) \tag{10}
$$

A numerical search method proposed and validated by Zhou *et al*. (2023b) is employed here to calculate the optimal PTO damping  $b_{opt}$  for maximum total wave-power absorption. The corresponding optimal wave power can then be calculated by Eqs. (9) and (10).

The main nomenclature used in this research is given in Table 2.

#### **3.3 Validation**

The WAFDUT hydrodynamic model and solution method have been long used in the investigation of various offshore structures, and their accuracy has been guaranteed and widely accepted (Cong et al., 2022; Zhou et al., 2020b; Zhou et al., 2013). We validated the proposed model of the constraint dynamics of the hybrid system by comparing it with the results for a spar-plate device (Figure 2) from Ruehl *et al*. (2014). The water depth and wave amplitude were 49.5 m and 1.25 m, respectively. The wave periods were 8 s and 12 s. Simulations were run with and without PTO damping of 1200 kN s/m between the float and spar. The comparative results are shown in Figure 3. The time history of motion was calculated using the amplitude and phase of motion and the results were quite similar. une[di](#page-5-0)t[e](#page-4-1)d



<span id="page-4-1"></span>**Figure 2 Sketch of the spar-plate device**

<span id="page-4-0"></span>



(a) Relative heave motion,  $b_{\text{PTO}}$ =1200 kN s/m, T=8s



<span id="page-5-0"></span>**Figure 3 Comparative results of WEC-Sim and the proposed numerical model**

## **4 Numerical Results and Discussion**

The regular incident waves we employed were of unit amplitude. The wave period was from 3 s to 25 s with an increment of 0.05 s and the water depth was 325 m.

## **4.1 Dynamic Features of WECs**

We investigated the trend of the optimal PTO damping of the annular WECs in the frequency domain, and examined its relationships with the corresponding optimal total wave power and the motion of each annular WEC to 1) reveal the dynamic features of the annular WECs under their optimal conditions and 2) provide a reference for selecting the dimensions of the annular WECs. The incident waves propagated in the positive *x*-direction ( $\alpha=0$ °). In or-



der to make the findings readily generalizable to a wider range of circumstances, we chose the outer radius and draft of a representative annular WEC to be *R*=11.5m and *d*=6.47m, respectively. The natural period of an annular WEC in heave was 7 s. As elaborated in Section 3, the hydrodynamics of the floats were calculated using the WAFDUT numerical package. The constrained dynamics with fluid viscous correction and the wave-power absorption were calculated using an in-house code based on the theory outlined in Section 3. The PTO damping and wave power were optimized with the numerical search method. Figure 1<br>
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<span id="page-5-1"></span>**Figure 4 Optimal PTO damping** *b***opt and optimal total power**  $P_{total}$ 

The comparative results of the trends for optimal PTO damping and optimal total power are shown in Figure 4. The tendency of the optimal PTO damping shows a W-shaped pattern. As the wave period increases, it first reaches a local minimum value at  $T=6.8$  s, then a local maximum value at *T*=10.5 s, and again a local minimum value at *T*=17.5 s. The tendency of the optimal total wave power shows an M-shaped pattern. The two peaks appear at *T*=6.8 s and *T*=10.2 s, respectively. The first trough of the optimal PTO damping coincides with the first peak of the optimal total wave power, and the peak of the optimal PTO damping coincides with the second peak of the optimal total wave power. The second trough of the optimal damping does not show an obvious relationship with any characteristic feature of the optimal total wave power.

The patterns of the optimal PTO damping and

optimal total wave power, as well as the matching of peaks and troughs between them, can be seen from a closer examination of the motions of the platform and the annular WECs. The frequency-domain comparative results of the trends of optimal PTO damping, the heave motions of WEC #1 and WEC #2 and the platform, and the relative heave motion between the annular WECs and the platform, are shown in [Figure 5.](#page-6-0) As WEC #1 and WEC #3 were symmetrically arranged about the *x*-axis and the waves were normally incident, the results for the two WECs are identical. Comparing Figure 5a and Figure 5b, although the values of the heave motions of WEC #1 and WEC #2 are quite different within the same wave period, their overall tendencies are similar: two local peaks appear at *T*=6.8 s and *T*=10.5 s, respectively. The differences in the values are because the two WECs were deployed at different places and the local wave heights were influenced by the radiation and diffraction of the platform. Based on this understanding, the formation of the two peaks of the total wave power can be analyzed.



<span id="page-6-0"></span>**Figure 5 Optimal PTO damping and dynamic characteristics of the hybrid system** 

At *T*=6.8 s, where the first peak occurs, the heave motion of the annular WEC reaches a sharp

peak because it is resonant (the deviation from its natural period of 7 s is due to the couplings between the hydrodynamic coefficients of the WEC and those of the platform or those of other WECs). The heave motion of the platform is quite small as it is only weakly excited by the wave. The relative heave motion reaches a sharp local peak due to the large difference in the heave motions between the annular WEC and the platform. The other factor that guarantees the large relative heave motion is the small optimal PTO damping (a local minimum value). It prevents the annular WEC from being lagged by the platform through PTO force. Based on the above analysis, the occurrence mechanism of the first peak of the total wave power can be interpreted as follows. At its natural frequency, the annular WEC resonates and has a much larger heave motion compared with the platform. The small optimal PTO damping guarantees a large relative heave motion between the WEC and the platform. Eq. (9) shows that the total wave power is determined by the product of the relative heave motions and the PTO damping. The combined effect of a large relative heave motion and small PTO damping yield a local peak of the optimal total wave power. tform, and the relative heave motion between tion reaches a sharp local peak due to the large diffuser. So and the platform, and show the reaches in the heave motion between the samello peak of the samello of the samello

0.0 the influence of the local wave height determined by wave power occurs, the heave motions of the annular WEC and the platform reach a local peak because At *T*=10.5 s, where the second peak of the total WEC and the platform reach a local peak because they are resonant. The relative heave motion between the two reaches a local trough (for WEC #2, due to the disturbance of the platform, the local trough of the relative heave motion at 9.8 s deviates a bit from *T*=10.5 s). In this circumstance, the large action and reaction PTO damping forces on the two floating bodies exert a mutual lag effect that reduces the relative heave motion, making the two bodies heave very synchronously in a quasi-resonant state. This situation is analogous to the so-called synchronized mode of a single coaxial-cylinder system (Jin et al., 2019), but there are some differences. Although a similar phenomenon occurs when the single WEC becomes an array of WECs and all of the WECs move synchronously with the platform, in the synchronized mode, the column and the ring are much more tightly adhered. The reason could be the greater number of WECs and the local wave field associated with each WEC being different, leading to different wave forc-

es on and motions of the WECs. In this case, the synchronization cannot be so complete as when there is only one column and one ring. This situation can be called "sub-synchronization", and the second peak of total wave power it induces can be interpreted as the combined effect of a large optimal PTO damping and small relative heave motion, as in Eq. (9).

At *T*=18.5 s, the heave motion of the platform reaches a mild peak because it is resonant, but no peak of the total wave power occur. This is also different from the prediction in Jin *et al*. (2019) for a single coaxial-cylinder system, in which a power peak can be obtained. The reasons are as follows. On the one hand, while the platform resonates, its heave motion is not large because it is damped by the heave plates installed beneath the columns. On the other hand, the annular WEC also has a not inconsiderable heave motion due to being excited by long waves. These two effects prevent significant relative heave motion, and the relative heave motion is not necessarily maintained by a large optimal PTO damping. However, the relative heave motion can still reach about 1 m, as shown in Figure 5. To further interpret the cause of the low total wave power, note that Eq. (9) clarifies that the wave power is also related to the incident-wave frequency *ω*. In such a long wave with *T*=18.5 s, wave frequency can be quite small (*ω*=0.34 rad/s). The combination of the above three effects leads to the disappearance of the possible third peak of the total wave power, even when the platform is resonant.

From the above analysis, it is clear that the regularity found in a single coaxial-cylinder system cannot be directly applied to a multiple coaxial-cylinder system due to the additional complexity in the configuration of the hybrid system and the radiated and diffracted local wave field. The first peak of the total wave power linked to the resonance of the annular WEC and the second peak of the total wave power linked to the sub-synchronization of the system can both be used as objectives in tuning the geometry of the annular WECs. An analysis of the influence of the two scenarios on the dynamic features of the platform should be carried out for further evaluation.



<span id="page-7-0"></span>**Figure 6 Motions of the hybrid system and single platform** 

The comparative results for platform surge, heave, and pitch motions in the frequency domain with (hybrid system) and without (single platform) integration of annular WECs is shown in [Figure 6a](#page-7-0), b, and c, respectively. In [Figure 6a](#page-7-0), the trends and values of the surge motions of the single platform and hybrid system are seen to be similar, indicating that the annular WECs have a limited effect on the surge motion of the platform. In [Figure 6b](#page-7-0), which shows the single platform, the heave motion of the

platform is small in the waves from  $T=3$  s to  $T=14$  s. From *T*=14 s forward, the heave motion of the platform darts up and reaches a peak of 1.65 m at *T*=18 s. In the hybrid system, the heave motion of the platform reaches a peak of 1.05 m at *T*=10.6 s due to stimulation by the large optimal PTO damping, which is much larger than that of the single platform (0.12 m). As the wave period increases, the heave motion of the platform reaches a second peak due to its resonance. The value of the second peak in the hybrid system (1.05 m) is smaller than that for a single platform (1.65 m) due to the lagging effect of the annular WECs. These results indicate that the annular WECs dramatically increase the heave motion of the platform under sub-synchronization but reduce the resonant motion of the platform in the vicinity of its natural frequency. In Figure 6c, in the hybrid system, it is evident that the pitch motion increases in almost the entire wave-period range. A peak (0.06 rad/s) occurs under sub-synchronization. At the other wave periods, the increases are small. These results indicate that the annular WECs mainly influence the motion of the platform under sub-synchronization conditions, through a large PTO force. With regard to the stability of the platform, this subsynchronization state should be avoided as it dramatically increases heave and pitch motions, especially the latter, although it helps reduce the resonant motion of the platform at its natural period. The fact that the increase in platform motion occurs in shorter waves that are commonly seen in real seas provides an additional reason to discard sub-synchronization. The first peak of the total wave power due to the resonance of the annular WECs can then be selected as an optimization objective for tuning its dimensions. is much larger than that of the single platform 2023b) in all the inci[d](#page-8-0)ent waves. Therefore, in the value of the awaye priori of the wave present and spin of the particular WEC in the value of the annual WEC incomparative

#### **4.2 Effect of WEC dimensions**

In this section, we discuss optimization of the outer radius and draft of the annular WECs. To make the method and findings more generally applicable, we randomly chose a target operational site in the North Sea as representative. The peak wave period there is  $T_p = 7$  s (META Ocean View). The draft *d* is obtained by presetting the inner radius to draft ratio *r*/*d*. It should be guaranteed that the natural frequency of the annular WEC in the heave mode is  $T_p$ . Because tuning the optimal PTO damping according to the immediate wave conditions is difficult to implement even when the most advanced control strategies are applied (Wang et al., 2018, 2020; Gu et al., 2021; Sergiienko et al., 2019), we used a fixed optimal PTO damping obtained under the predominant wave conditions in the representative location (Zhou et al., 2023b) in all the incident waves. Therefore, in the present analysis, for each model of an annular WEC, we used the  $b_{opt}$  obtained at  $T_p=7$  s as a universal optimal PTO damping level. The comparative results for total wave power of the annular WECs with *r*/*d*=0.8, 0.85, 0.9, and 1 are shown in

Figure **7**. The detailed dimensions and optimal PTO damping (numerical search) of the four annular WECs are given in Table 3.

<span id="page-8-0"></span>

**Figure 7 Influence of WEC dimensions on total power**

<span id="page-8-1"></span>**Table 3 Dimensions and optimal PTO damping of the four annular WECs** 

r/d	R(m)	r(m)	d(m)	$m$ (kg)	$b_{\text{ont}}(N \text{ s/m})$
0.8	10.5	5.5	6.88	$1.77E + 03$	$4.22E + 0.5$
0.85	11.5	5.5	6.47	$2.12E + 0.3$	$5.64E + 05$
0.9	12.5	5.5	6.11	$2.47E + 03$	$7.35E + 0.5$
1.0	14.0	5.5	5.5	$2.93E+03$	$1.15E + 06$

In

Figure **7**, one can see that the general trends of the total wave power of the annular WECs with different *r*/*d* ratios are the same: they show a singlepeak pattern. In the waves with  $T < T_p$ , the annular WECs with different *r*/*d* ratios absorb almost the same amount of total wave power. At  $T_p$ , the total wave power slightly increases as the *r*/*d* ratio increases. In the waves with  $T_p < T < 15$  s, the total wave power of annular WECs with a larger *r*/*d* ratio can be much higher than that of WECs with a smaller *r*/*d ratio*. From  $T=15$  s on, the annular WECs with different *r*/*d ratios* again absorb the same amount of total wave power. Thus, as the inner radius to draft ratio *r*/*d* increases, or the annular WECs become flatter, the total wave power in waves longer than the predominant length increases in the operational site, i.e., the wave-power absorption bandwidth expands to the long-wave region.

The surge, heave, and pitch motions of the platform integrated with annular WECs with *r*/*d*=0.8, 0.85, 0.9, and 1 are shown in Figure 8a, b, and c, respectively. The motions of a single platform are also given for comparison. Figure 8a shows that changing the dimensions of the annular WECs in the given range hardly influences the surge motion of the platform. Figure 8b shows that annular WECs with a larger *r*/*d* ratio cause a greater increase of the heave motion in waves from  $T=3$  s to  $T=16$  s and a greater reduction of the heave motion in the vicinity of the natural period of the platform, revealing that a larger lagging effect is created by a flatter annular WEC. However, the difference in the heave motion of the platform between the four hybrid systems is small. [Figure 8c](#page-9-0) shows that the *r*/*d* ratio increases, and that the pitch motion of the platform slightly and gradually increases throughout the most wave-period range.



#### (b) Heave motion



<span id="page-9-0"></span>**Figure 8 Influence of WEC dimensions on the motions of the hybrid systems and single platform** 

## From

 $\frac{1}{20}$   $\frac{1}{5}$   $\frac{1}{10}$   $\frac{15}{20}$   $\frac{20}{25}$  tween two adjacent WECs cannot be allowed. Sec-Figure **7** and Figure 8, it is evident that as the inner radius to draft ratio *r*/*d* of annular WECs increases, a larger amount of total wave power can be absorbed in a wider wave-period band. The most serious effect is an increase in the pitch motion of the platform, which may affect its dynamic stability. A closer examination of the pitch motions of the hybrid systems with *r*/*d*=0.8 and *r*/*d*=1 shows that the maximum pitch motion increases by 0.29°. The difference between the hybrid system with *r*/*d*=1 and the single platform is 0.23°. Both of these values are negligible. The total wave power can be further increased by increasing the inner radius to draft ratio *r*/*d* of the annular WECs, but two possible circumstances should be mentioned. First, the distance between the columns is a constraint that prevents the outer radius from being larger, since collision beond, as the annular WEC becomes too flat, its construction and structural strength can become problematic. Therefore, the radius-to-draft ratio should be limited according to the practical installation and manufacturing conditions. In the following analysis, we will use the optimal annular WEC among the four with *r*/*d*=1. he sange, heave, and pitch motions of the plat-<br>
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19.9, and 1 are shown in Figure 8a, b, and c,<br>
19.9, and 1 are shown in Figure 8a, b, and c,<br>
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#### **4.3 Effect of incident-wave direction**

We investigated the influence of incident-wave direction on the wave-power absorption and motions of the hybrid system, because in real seas the waves are not always incident in the positive-*x* direction. The annular WECs discussed here have *r*/*d*=1, *R*=14

m, and *d*=5.5 m. The universal optimal PTO damping is fixed at  $1.15 \times 10^6$  N⋅s/m.





<span id="page-10-0"></span>**power performance of the hybrid system** 

The total wave power  $P_{total}$  and the wave power absorbed by WEC #1, WEC #2, and WEC #3  $(P_1, P_2,$ and  $P_3$ ) with incident-wave directions  $\alpha = 0^\circ, 90^\circ$ , and 180°are respectively shown in Figure 9a~d. Figure 9a shows that the single-peak pattern of the total wave power is not affected by variation in the wave direction. The peak power period drifts a bit due to the variation of the local wave field caused by variation in the wave direction. From Figure 9b~d, one can deduce that the drift of the peak total wavepower period is mainly caused by the drift of the peak power period of WEC #2, around which the wave field experiences the greatest variation due to changes in wave direction. Figure 9a also shows that the total wave power has the greatest peak value for *α*=0°and the broadest band for *α*=90°. The former is due to the fact that two WECs (WEC #1 and WEC #3) directly face the incident wave and one (WEC #2) is affected by the so-called "shadow effect" when *α*=0°. The situation is reversed for *α*=90°. When a WEC is experiencing the shadow effect, the incidentwave energy is absorbed by the WECs in front of it and attenuated by the floating structures in front of it. The number of WECs influenced by the shadow effect is smaller when  $\alpha=0$ <sup>°</sup>; therefore it has a higher peak power (also evident from the changes in  $P_1$ ,  $P_2$ , and  $P_3$  in [Figure 9b](#page-10-0)~d). The latter is due to the particular local wave field disturbed by the platform. From the viewpoint of better power absorption, is better to avoid the  $\alpha$ =180° situation for the platform, i.e., it should not be designed so that only one WEC directly faces the incident-wave train.

The motions of the platform in 6DoFs with incident waves where  $\alpha=0^{\circ}$ , 90<sup>°</sup>, and 180<sup>°</sup> are respectively shown in [Figure 10a](#page-12-0)~f. [Figure 10a](#page-12-0) demonstrates that for  $\alpha = 90^\circ$ , the surge motion of the platform almost vanishes, particularly in the long-wave region. The small surge motion in the waves from  $T=4.5$  s to  $T=16.5$  s is induced by the unequal wave excitation forces about the *y*-axis caused by the asymmetric configuration of the hybrid system. Fig[ure 10b](#page-12-0) shows that for  $\alpha = 0$ ° and 180°, the sway motion of the platform vanishes because the hybrid system is symmetric about the *x*-axis. A comparison between Figure 10a and Figure 10b shows that the tendencies and values of the surge motions for  $\alpha=0$ <sup>o</sup> and 180 $^{\circ}$  and the sway motion for  $\alpha$ =90 $^{\circ}$  are almost equal. This indicates that the oscillating motions of the hybrid system along the wave direction are affected very little by the axis-asymmetric configuration of the hybrid system. Figure 10c shows that the heave motion of the platform is hardly affected by variations in wave direction. Figure 10e illustrates that when the wave direction changes from  $\alpha=0$ <sup>o</sup> or 180 $\degree$  to  $\alpha$ =90 $\degree$ , the pitch motion can be reduced. The wave force on WECs is more balanced in the *x*direction for  $\alpha = 90^\circ$  than for the other two angles, which produces a lesser fluctuation of the wave torque in pitch. Figure 10d and Figure 10f show that for *α*=90°, additional motions in roll and yaw are produced due to the asymmetric configuration of the hybrid system. Compared with the other two angles, the overall effects of an incidence oblique to the *x*axis are produced by additional motion in yaw and the direction perpendicular to the wave direction. The former effect may put a slight burden on the servo system, since the maximum yaw angle is about 0.4° and the direction of the nacelle will always be under adjustment. The latter effect has little negative influence on the stability of the hybrid system since the additional motion is quite small.







<span id="page-12-0"></span>**Figure 10 Influence of incident-wave direction** *α* **on the 6 DOF motion of the platform** 

## **5 Conclusions**

In this paper, we propose a novel hybrid system consisting of a WindFloat platform and three annular, sliding WECs, one on each of its columns. The wave-structure interactions were simulated using a WAFDUT package developed by the Dalian University of Technology. The constrained dynamics of the hybrid system were solved and optimal PTO damping was obtained through a numerical search for maximum power absorption. The dynamic and power absorption features of the annular WECs were investigated and the geometry of the annular WECs was optimized based on a dimensionless method. In addition, the influence of incident-wave direction on the optimized hybrid system was studied. The major conclusions are as follows:

(1) There are two peaks of the total wave power. One is caused by the resonant heave motion of the annular WECs. Another is obtained under subsynchronization conditions where the heave motions of the annular WECs and the platform are partly synchronized by the mutual lagging effect caused by a very large PTO damping. Findings based on a single coaxial-cylinder system cannot be directly applied to this multiple coaxial-cylinder system due to the complexity of the configuration of the proposed hybrid system and the wave field disturbed by the platform.

 $\overline{0}$  5 10 15 20 25 nular WECs as it does not damage the dynamic sta-(2) The peak of the total wave power produced by resonant heave motion of the annular WECs is most suitable for optimizing the geometry of the anbility of the platform. We found that among the candidate scenarios, a flatter WEC absorbed more power in a wider period range than a slimmer annular WEC, despite it giving slightly more stimulation to the platform motion. However, practically speaking, the annular WEC cannot be made too flat due to limitations in installation and structural strength.

> (3) When deploying the hybrid system, it is better to let the side with two WECs face the incident waves rather than the single WEC. When the incident-wave direction does not coincide with the axis of symmetry of the hybrid system, a small additional yaw motion and a small motion along the direction perpendicular to the wave direction will be produced due to the asymmetric configuration of the hybrid system. The overall effect is slightly higher intensity in the motion of the hybrid system, which may be negligible.

> The application of these findings may have limitations due to the assumptions and idealizations employed. The investigation was carried out using numerical simulations in linear regular waves, based on potential flow theory. It does not consider all realworld physical phenomena that would affect a hybrid floating wind-wave system.

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#### **Author contributions**

Binzhen Zhou designed the research. Yu Wang and Zhi Zheng processed the corresponding data. Yu Wang wrote the first draft of the manuscript. Peng Jin and Lei Wang helped to organize the manuscript. Peng Jin and Yujia Wei revised and edited the final version.

#### **Conflict of interest**

Binzhen Zhou, Yu Wang, Zhi Zheng, Peng Jin, Lei Wang and Yujia Wei declare that they have no conflict of interest.

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## 中文概要

题 目:半潜式风力机平台与环形波能装置混合系统性 能研究

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- 目 的:波浪能具有分布广、能流密度大等优点,近年 来逐渐成为研究热点,然而波浪能技术的实际 应用仍处于初级阶段,存在装机成本高、维护 困难、难以收回投资等问题。因此'本文提出 一种新型半潜式风力机平台-环形波能装置混合 系统,研究混合系统的运动特性与能量特性, 并实现波能装置的几何尺寸优化。 unedited
- 创新点:1. 提出了一种新型 WindFloat 半潜式风力机平台 -环形波能装置混合系统;2. 优化了混合系统中 波能装置;3. 分析了入射波角度对于混合系统 发电功率与运动的影响。
- 方 法:通过约束矩阵,建立半潜式风力机平台-环形波 能装置混合系统耦合数值模型,完成混合系统 的运动特性与能量特性研究。
- 结 论:1. 混合系统的总发电功率存在两个峰值,一个 是环形波能装置共振引起的,另一个是波能装 置与平台之间很大的 PTO 阻尼所导致的波能装 置与平台发生同步运动状态引起的;2. 以混合 系统总发电功率中由于波能装置共振引起的峰 值作为波能装置尺寸优化依据更为合适;3. 在 部署混合系统时,将两个波能装置的一侧朝向 入射波更为合适。
- 关键词:半潜式风力机平台;波浪能;波能装置;能 量;运动